

ESG Self-Assessment Survey Form

Ennoconn Corporation expect to understand the current situation of Environment, Social and Governance (ESG) strategy of our business partners. The attached questionnaire is divided into six major parts. Please respond to the questions and response by file in accordance. The results of the questionnaire will be further used as the guiding principle for Ennoconn Corporation to manage sustainable development guidelines for enterprises and in accordance with the international sustainable development requirements. (GRI STANDARDS)

Basic Information:

1. Reporting period: January 1st, 20__ ~ December 31st, 20__
2. Company Name (*Name of Company Registration Certificate*):
3. Company Number (*Unified number*):
4. Supplier Category:
 - Raw Material
 - Transportation
 - Office Supplies
 - Outsourcing
 - Labor Service
 - Other, Specify: _____
5. Address:
6. Name of Applicant/Contact:
7. Contact Phone Number:
8. Department:
9. EMAIL:
10. Has the company ever issued reports related to corporate social responsibility? (e.g.: corporate social responsibility reports, Sustainability reports, etc.)
 - Yes
 - No (However, we have shared experiences, updates, etc. with School Districts, Government Agencies as well as Corporate clients with whom we've done business)
11. Has the company received and agreed to Ennoconn's "[Sustainable Procurement Guidelines](#)" initiative?
 - Yes
 - No

The color meaning of the following questions:

RED: Necessary.

GREEN: Plus.

I. Labor rights of suppliers

The categories of Labor are based on internationally accepted standards, including employment practices, incidents (e.g.: verbal, psychological, physical or sexual abuse, coercion or harassment), labor relations, wages and remuneration, hours of work, etc. Human rights considerations include relevant procedures, human rights violations, and the extent to which stakeholders are able to enjoy and exercise human rights. Human rights issues include non-discrimination, gender equality, freedom of association, collective bargaining, child labor, forced and compulsory labor, and indigenous rights.

1. During the reporting period, did the company notify employees in advance before implementing major operational changes that could seriously affect their rights?
 Yes
 No
 Not Applicable

2. During the reporting period, does the company provide staff with assistance plans for major diseases, including education and training, consultation on prevention and risk control measures or treatment plans?
 Yes
 No
 Not Applicable

3. During the reporting period, has any employee been injured or death at work?
 Yes
 No
 Not Applicable

4. Does the company arrange employees to participate in relevant education and training courses during the reporting period?
 Yes
 No
 Not Applicable

5. During the reporting period, did the company have any labor practice complaints or protests?
 Yes
 No
 Not Applicable

6. Did the company incorporate human rights provisions into its procurement or investment contracts during the reporting period?
 Yes
 No
 Not Applicable

7. During the reporting period, does the company arrange employees to participate in the training of relevant human rights policies?

- Yes
- No
- Not Applicable

8. Is there any discrimination or sexual harassment issue in the company during the reporting period ?

- Yes
- No
- Not Applicable

9. Does the company restrict employees' freedom of association or collective negotiation during the reporting period?

- Yes
- No
- Not Applicable

10. Did the company employ or use child Labor during the reporting period?

- Yes
- No
- Not Applicable

Note: Child Labor means all children under 15 years of age or in compulsory education age.

11. Whether there were any incidents of compel or forced labor in the company during the reporting? (Such as seizure of identity documents, strong require deposits, threatening dismissal with involuntary overtime, etc.)

- Yes
- No
- Not Applicable

12. Did the company have any violations of aboriginal rights during the reporting period?

- Yes
- No
- Not Applicable

13. Did the company have any complaints or protests about "human rights issues" during the reporting period?

- Yes
- No
- Not Applicable

II. Health and safety

Suppliers must incorporate OH&S regulations into their business processes to ensure the safety of their employees in working area.

1. Is there any management method for occupational safety and health?(OH&S)

- Yes
- No
- Not Applicable

2. Is the management of occupational safety and health really implemented?

- Yes
- No
- Not Applicable

3. Do you have good occupational safety and health education, training and management methods?

- Yes
- No
- Not Applicable

4. Are dangerous machinery and equipment, heavy machinery operators and electricians qualified to operate them?

- Yes
- No
- Not Applicable

5. If there are hazardous operations, are appropriate PPE (Personal Protective Equipment) provided to employees and relevant information about potential risks?

- Yes
- No
- Not Applicable

6. Have the ability to plan and implement emergency plans and provide precise instructions to employees in relevant procedures, including emergency response, employee notification and evacuation, drills, fire detection and extinguishing equipment, escape equipment and recovery plans.

- Yes
- No
- Not Applicable

7. Provide clean toilet facilities, drinking water, and hygienic food preparation, storage and eating facilities for employees. Accommodation facilities are kept clean and safe, with private spaces, emergency exits, heating and cooling, ventilation, and water for lavatories.

- Yes
- No
- Not Applicable

III. Environmental protection assessment

The environmental aspect of sustainable development (the environment oriented of sustainability) focuses on the impact of organizations on living and non-living natural systems, including land, air, water and ecosystems. The environmental category covers impacts related to inputs (e.g., energy and water) and outputs (e.g., discharges, drain and waste). They also include bio-diversity, transportation, product-and-service-related impacts, and compliance with environmental regulations and environmental costs.

1. Has the company set up an “Environmental Protection Implementation Committee” to promote institutional clarity?

- Yes
- No
- Not Applicable
- Other: _____

2. Does the company have a board of directors or operational leadership involved in the above organization?

- Yes
- No
- Not Applicable

3. Has the company formulated an environmental protection policy and set up target objectives?

- Yes
- No
- Not Applicable

4 Whether recycled raw materials are used in major products or services of the company during the reporting period (such as broken glass and waste Iron, waste paper, waste tire, recycled paper)?

- Yes
- No
- Not Applicable

5. Does the company have specific energy saving measures during the reporting period? (e.g., using renewable energy, energy saving equipment, with energy saving behavior advocacy, etc.)

- Yes
- No
- Not Applicable

6. Does the company have specific water-saving measures during the reporting period? (e.g., water recycling, replacing water-saving equipment, water-saving behavior promotion, etc.)

- Yes
- No
- Not Applicable

7. Did the company perform GHG emissions calculations during the reporting period?

- Yes
- No
- Not Applicable

8. During the reporting period, whether the hazardous waste of the company is entrusted to a qualified hazardous waste cleaning company for disposal?

- Yes
- No
- Not Applicable

9. Have the products or services provided by the company won environmental awards or relevant certifications during the reporting period? (e.g.: Carbon footprint, water footprint, energy saving label, green building materials, energy saving awards, etc.)

- Yes
- No
- Not Applicable

10. Does the company recall sold products and packaging materials during the reporting period? (Packaging material recycling such as empty bottles, cartons, or product recycling such as batteries or consumer electronics, mobile phones, computers, etc.)

- Yes
- No
- Not Applicable

11. Has the company been fined for violating environmental laws and regulations during the reporting period? (e.g., major leaks, exhaust fumes discharge, sewage discharge, waste material leak, impact by raw material use, energy, biodiversity, etc.)

- Yes
- No
- Not Applicable

12. Does the company have any complaints or protests about "environmental impact" during the reporting period?

- Yes
- No
- Not Applicable

13. Does the company provide environmental education and training to own employees?

- Yes
- No
- Not Applicable

IV. Ethics

Ethical aspect focus on the organization's commitment to the highest standards of integrity in business operations, respect intellectual property rights, have appropriate conflict mineral policy, and must protect anyone they do business with.

1. During the reporting period, if any person is known to have a family member or other close personal relationship with any employee of Ennoconn Corporation who has influence on the supplier's relationship with Ennoconn Corporation, can he/she disclose as much as possible to avoid conflicts of interest?

- Yes
 No
 Not Applicable

2. Is there any corruption n(embezzle) incident in the company during the reporting period?

- Yes
 No
 Not Applicable

3. Whether the company has any legal proceedings involving anti-competitive, anti-trust or monopoly practices during the reporting period?

- Yes
 No
 Not Applicable

4. Has the company been fined for violating social regulations during the reporting period?

- Yes
 No
 Not Applicable

Remarks: social regulation events, such as accounting irregularities, accounting fraud, corruption, anti-competitive behavior and other relevant laws and regulations of fines or non-economic sanctions.

5. Is there any "intellectual property" appeal or protest case in the company during the reporting period?

- Yes
 No
 Not Applicable

6. Has due diligence been carried out on the source and monitoring chain of conflict minerals deposits and made available to us upon our request?

- Yes
 No
 Not Applicable

7. Suppliers must protect the personal information and privacy of all business partners. (including suppliers, customers, consumers and employees)

- Yes
- No
- Not Applicable

V. Management system

A management system is a framework of processes and procedures to ensure that an organization can accomplish all the tasks that enable it to achieve its goals. Enterprises are required to establish, implement and maintain management systems in consideration of applicable regulations and other requirements to be complied with and to keep information up-to-date.

1. A management system can be adopted or developed to carry out the above responsibilities and the purpose of the management system is to ensure that the operation process complies with therequirements and applicable laws and regulations.

- Yes
- No
- Not Applicable

2. Ability to identify and mitigate the operational risks associated with the above responsibilities and system to facilitate continuous improvement.

- Yes
- No
- Not Applicable

3. Are products purchased with a focus on disadvantaged, women leaders or LGBT, etc. as one of the elements of the purchasing decision?

- Yes
- No
- Not Applicable

4. Has the company focused on issue of biodiversity and measures?

- Yes
- No
- Not Applicable

5. Has the company a signatory to or an advocate of relevant international ESG initiatives?

- Yes, please specify _____
- No
- Not Applicable

VI. Impact assessment of product liability

Sustainable product liability is concerned with the direct impact of products and services on stakeholders, especially customers.

1. Is there any health and safety assessment for the products (or services) provided by the company to Ennoconn Corporation during the reporting period?
 Yes
 No
 Not Applicable

Note: health and safety assessment type contains REACH/RoHS/WEEE/production resume/non-toxic test/inspection report/labor safety assessment etc...

2. Does the company have any violation of product (or service) information or labeling during the
 Yes
 No
 Not Applicable
3. During the reporting period, whether the company's products (or services) violated laws and were fined?
 Yes
 No
 Not Applicable
4. During the reporting period, did the company's products (or services) violate the relevant laws and regulations of marketing? (Including advertising, promotion, and sponsorship)
 Yes
 No
 Not Applicable
5. Are there any products (or services) that are prohibited or removed from the shelves according to law during the reporting period?
 Yes
 No
 Not Applicable